



# First Trust Retirement ADDITIONAL INVESTMENT INSTRUCTIONS FORM

### Please Print or Type

*This form should be used for investments made subsequent to the initial IRA funding. Please complete a subscription document for the fund(s) indicated in Step 3 and return it with the Additional Investment Instructions form. The minimum additional investment amount is \$500.*

Forward To: First Trust Retirement, c/o DST Systems, Inc.

Regular Mail  
PO Box 219095  
Kansas City, MO 64121-9095  
855-661-0250

Overnight Delivery  
Mail Stop: FS Investments  
430 West 7th Street  
Kansas City, MO 64105-1407

### Step 1: NAME & ADDRESS

IRA Owner Name	Social Security Number	Existing FS Account Number (if known)
Address		City / State / Zip
		Phone Number

### Step 2: IRA CONTRIBUTION

Select the type of IRA to be established and the contribution type.

#### Traditional IRA

OR

#### Roth IRA

- |  |  |
|--|--|
| <input type="checkbox"/> Regular/Annual Contribution _____ Year  | <input type="checkbox"/> Regular/Annual Contribution _____ Year  |
| <input type="checkbox"/> Transfer (also complete transfer/rollover form)                                 | <input type="checkbox"/> Transfer (from Roth IRA) (also complete transfer/rollover form)                 |
| <input type="checkbox"/> Transfer funds from existing Morrill & Janes Bank and Trust NOW Account         | <input type="checkbox"/> Transfer funds from existing Morrill & Janes Bank and Trust NOW Account         |
| <input type="checkbox"/> Rollover (from eligible retirement plan) (also complete transfer/rollover form) | <input type="checkbox"/> Rollover (from eligible retirement plan) (also complete transfer/rollover form) |
| <input type="checkbox"/> Recharacterization  | <input type="checkbox"/> Conversion  |
| <input type="checkbox"/> SEP IRA   | <input type="checkbox"/> Recharacterization  |
| <input type="checkbox"/> Employer Contribution   |  |
| <input type="checkbox"/> Employee Contribution _____ Year  |  |

### Step 3: INVESTMENT INSTRUCTIONS

I direct First Trust Retirement, Custodian, to invest any cash contributions as follows:

- |  |          |    |              |
|--|----------|----|--------------|
| <input type="checkbox"/> FS Global Credit Opportunities Fund         | \$ _____ | or | _____ %      |
| <input type="checkbox"/> FS Investment Corporation III               | \$ _____ | or | _____ %      |
| <input type="checkbox"/> FS Energy and Power Fund                    | \$ _____ | or | _____ %      |
| <input type="checkbox"/> Morrill & Janes Bank and Trust NOW Account* | \$ _____ | or | _____ %      |
| <input type="checkbox"/> Annual Account Maintenance Fee**            | \$ _____ |    |              |
| <b>Total</b>   | \$ _____ | or | <b>100 %</b> |

\*Any uninvested or undirected cash in your IRA will be deposited in an interest bearing Morrill & Janes Bank and Trust Company NOW Account. The interest rate and annual percentage yield may change at any time. Interest will be compounded and credited on the last day of each calendar month. The daily balance method is used to calculate the interest on cash in the account. The bank deposit is insured up to applicable FDIC limits.

\*\*\$25 Annually. The Annual Account Maintenance Fee may be paid by you directly; or the Custodian may deduct them from your IRA or from your income distributions. Annual fees will be charged for any calendar year during which the IRA is open. This fee is not prorated for periods of less than one year. See Financial Disclosure for more information.

### Step 4: IRA OWNER AFFIRMATION

I direct First Trust Retirement (the "Custodian"), trade name of First Trust Company of Onaga, to execute, as Custodian, the purchase of the above-named asset(s) ("Product") for the benefit of my self-directed IRA account. By signing this form, I acknowledge that the IRA account is self-directed and that I am solely responsible for the investment outcomes within the account, and further acknowledge the following:

- I have had the opportunity to review this transaction with tax, legal, financial and/or other advisors of my choice and am satisfied that I meet all suitability requirements imposed by the investment entity and the investment is suitable for the IRA account;
- I understand that if the assets are not publicly traded, redemptions may be limited and I have considered these factors along with other IRA requirements, such as required minimum distributions, when determining the suitability of this investment for my IRA account;
- I understand that First Trust Retirement does not make any determination with respect to suitability nor does it have a duty to conduct any suitability analysis on my behalf;
- I acknowledge that it is my sole responsibility to direct the investment of my account and that First Trust Retirement, acting as Custodian, will not have responsibility, discretion, or involvement in evaluating or selecting any assets or investments, and shall have no liability for any loss, damage, and/or tax (including a prohibited transaction tax) that may result from or be associated with the transaction requested herein;
- I certify that no tax advice has been given to me by First Trust Retirement and that all decisions regarding these Additional Investment Instructions are my own;
- I agree to indemnify and hold First Trust Retirement harmless from and against any and all claims, liabilities, causes of action, losses and expenses (including, without limitation to any court costs, attorney's fees and other expenses) asserted against or incurred by First Trust Retirement as a result of, or in any way relating to, any actions requested or directed by me or by my authorized representative, whether in these Additional Investment Instructions or otherwise;
- I agree that First Trust Retirement shall have no obligation, other than maintaining custody of the assets, to take any action with respect to the assets acquired for this IRA account pursuant to these Additional Investment Instructions or otherwise;
- I understand that First Trust Retirement may condition any action or any further action it may agree to undertake, upon its receipt from me, in form satisfactory to it, of written instruction to undertake such action, together with such further agreement or undertaking of indemnification as First Trust Retirement may reasonably request; and
- I understand that the purchase of assets described above or any action requested or directed by me (whether in these Additional Investment Instructions or otherwise) is subject to all terms and conditions of the Account Agreement as amended from time to time.

### Step 5: SIGNATURE REQUIRED

I hereby acknowledge that this agreement is between the IRA Owner named in Step 1 and the Custodian and that Product and its affiliates (i) shall have no obligations or liability under this agreement or for any transactions executed in connection herewith; (ii) shall have no responsibility, discretion or involvement in evaluating or selecting assets or investments; and (iii) shall not be deemed to be a "fiduciary" as defined in the Employee Retirement Income Security Act of 1974, as amended, and/or Section 4975 of the Internal Revenue Code of 1986, as amended, with respect to any assets or property of the IRA account.

IRA Owner Signature

Date